PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449B/PTO **Application Number** 10/676,464 INFORMATION DISCLOSURE September 30, 2003 Filing Date Oharmendra Shantilal Modha et al. **First Named Inventor** STATEMENT BY APPLICANT Group Art Unit 2176 (use as many sheets as necessary) Unassigned **Examiner Name** of **Attorney Docket Number** Sheet ARC920030011US1

Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7
ill		K. A. Bello et al., "Non-Volatile Cache Storage Allocation Algorithm," IBM Technical Bulletin, Vol. 38, No. 12, December 1995, pp. 39-42.	
			-
			<u> </u>
			-
			<u>. </u>
			- - 
			-

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	ite for form 1449A/PT(			Complete if Known			
	<b></b>		201 201175	Applicati n Number			
INFC	PRMATION	U	SCLOSURE	Filing Date			
STA	<b>TEMENT B</b>	Y	APPLICANT	First Named Inventor	DHARMENDRA SHANTILAL MODHA		
				Art Unit			
	(use as many shee	is a.	s necessary)	Examiner Name			
Sheet	1	of	2	Attorney Docket Number	ARC920030011US1		

control number

U.S. PATENT DOCUMENTS								
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
134		US- 6,052,822 \	04-18-2000	Klm et.al				
Bh		US- 5,394,531 .	02-28-1995	Smith				
1ch		US- 5,627,994	05-06-1997	Levy et.al				
He		US- 5,499,351 .	03-12-1996	Aschoff et.al.				
The		US- 20010001872	05-24-2001	Singh et.al.				
He		US- 6,256,747 .	07-03-2001	tnohara et.al.				
WE		US- 4,463,424 -	07-31-1984	Mattson et.al.				
Ble		US- 5,537,635	07-16-1996	Douglas				
(de		US- 6,192,458 -	02-20-2001	Arimilli et.al.				
ill		US- 6,493,800 ·	12-10-2002	Blumrich				
		US-						
		US-						
		US-						
		US-						
		US-						
		US-		Ç.				
		US-						
		US-						
		US-						
		us-						

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>				
		62-249253		FUJITSU-LTD-	The same of the sa					
		**************************************			***************************************					
					·····					
}			<del></del>							
l										

Examiner Date Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line/through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

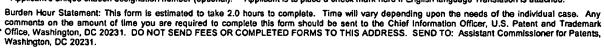
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it contains a valid OMB control number.

Substitute f	or form 1449B/PTO			Complete If Known			
			01.001105	Applicati n Number			
INFOR	(MATION L	JIS	CLOSURE	Filing Date			
STATI	<b>EMENT RY</b>	' Δ	PPLICANT	First Named Inventor	DHARMENDRA SHANTILAL MODHA		
0.7			LIOAN	Group Art Unit			
	(use as many shee	ts a	s necessary)	Examiner Name			
Sheet	2	of	2	Attorney Docket Number	ARC920030011US1		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
ille		IMPROVING DISK CACHE HIT-RAIOS THROUGH CACHE PARTITIONING, Dominique Thiebaut, Member IEEE, Harold S. Stone, Fellow IEE, and Joel L. Wolf						
		·						
***************************************								
<del>- 1</del>								
-								
	<del></del>		_					

Examiner	H	V >.	Date	1/11	[0]	
Signature	, ,	Cim	Considered	1/((	06	

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.